

**MATH3195/5195M EXERCISE SHEET 2  
SOLUTIONS**

DUE: FEBRUARY 26, 2024

- Problem 1.** (a) Show that  $\mathbb{R}[x, y]/(x^3 - y^2)$  is isomorphic to  $\mathbb{R}[t^2, t^3]$ . [Hint: First homomorphism theorem. First show that  $f(x, y) = x^3 - y^2$  is in the kernel of the map  $\varphi$  as defined in the lecture. In order to see that  $(f(x, y))$  is the full kernel, you may use the fact, that the kernel of  $\varphi$  is generated by elements of the form  $x^a y^b - x^{a'} y^{b'}$ , where  $a, a', b, b' \in \mathbb{N}$ . This fact can be proved using Gröbner bases methods]
- (b) Is  $(x^3 - y^2)$  a prime ideal in  $\mathbb{R}[x, y]$ ? Explain!

**Solution.** (a) Define  $\varphi : \mathbb{R}[x, y] \rightarrow \mathbb{R}[t]$  by  $\varphi(x) = t^2$  and  $\varphi(y) = t^3$ . The image of  $\varphi$  is the subring of  $\mathbb{R}[t]$  generated by  $t^2$  and  $t^3$ , that is, the ring  $\mathbb{R}[t^2, t^3]$ . Then by the first homomorphism theorem,  $\text{im}(\varphi) = \mathbb{R}[t^2, t^3] \cong \mathbb{R}[x, y]/\ker \varphi$ . It remains to determine  $\ker \varphi$ . The element  $f(x, y) = x^3 - y^2$  is in  $\ker \varphi$  since  $\varphi(f(x, y)) = f(t^2, t^3) = (t^2)^3 - (t^3)^2 = 0$ . Now use the hint, which says that  $\ker \varphi = (x^a y^b - x^{a'} y^{b'})$ , where  $a, a', b, b'$  are some integers  $\in \mathbb{N}$ . Assume that  $g(x, y) = x^a y^b - x^{a'} y^{b'}$  is in  $\ker \varphi$ , that means that  $t^{2a+3b} - t^{2a'+3b'} = 0$ . So we are looking for all integer linear combinations such that  $2a + 3b = 2a' + 3b'$ , or  $2(a - a') = 3(b' - b)$ . We may assume w.l.o.g. that  $a > a'$  and hence  $b' > b$  (if  $a = a'$  we would get  $b = b'$  and the binomial would be 0). Since  $a - a'$  and  $b' - b$  are integers and 2 and 3 are coprime, the above equation implies that  $3|(a - a')$  and  $2|(b' - b)$ . Thus  $a - a' = 3k$ , which implies that  $b' - b = 2k$  for some  $k \in \mathbb{N}_{>0}$ . Thus any  $g(x, y)$  in  $\ker \varphi$  is of the form  $x^{a'+3k} y^{b'-2k} - x^{a'} y^{b'} = x^{a'} y^{b'-2k} (x^{3k} - y^{2k})$ . Since  $x^{3k} - y^{2k} = (x^3)^k - (y^2)^k = (x^3 - y^2) \left( \sum_{i=0}^{k-1} x^{3i} y^{2(k-1-i)} \right)$ , one sees that  $f(x, y) | g(x, y)$ , which means that  $g(x, y) \in (f(x, y))$ . Thus  $\ker \varphi = (x^3 - y^2)$  and the first isomorphism theorem shows that  $\mathbb{R}[t^2, t^3] \cong \mathbb{R}[x, y]/(x^3 - y^2)$ .

(b) Since  $\mathbb{R}[t^2, t^3]$  is a subring of the integral domain  $\mathbb{R}[t]$ , it is itself an integral domain (if we had  $a(t^2, t^3)b(t^2, t^3) = 0$ , then since both  $a, b \in \mathbb{R}[t]$ , this implies that either  $a$  or  $b$  is 0). By (a)  $\mathbb{R}[x, y]/(x^3 - y^2) \cong \mathbb{R}[t^2, t^3]$ , hence  $\mathbb{R}[x, y]/(x^3 - y^2)$  is an integral domain. By the theorem from the lecture  $(x^3 - y^2)$  is a prime ideal in  $\mathbb{R}[x, y]$ .

- Problem 2.** (a) Show that the ideal  $(x^4 - 5x^3 + 7x^2 - 5x + 6, x^4 + 2x^2 + 1, x^4 - 2x^3 + x^2 - 2x)$  in  $\mathbb{R}[x]$  is maximal.
- (b) Let  $R$  be a ring such that every element satisfies  $x^n = x$  for some  $n > 1$  (here the integer  $n$  depends on  $x$ ). Show that every prime ideal in  $R$  is maximal.

**Solution.** (a) If  $(f(x), g(x), h(x))$  is an ideal in  $K[x]$ , where  $K$  is a field, then one can see that  $(f(x), g(x), h(x)) = (\gcd(f, g, h))$ .

We first calculate the factorizations of the polynomials  $f(x) = x^4 - 5x^3 + 7x^2 - 5x + 6 = (x - 2)(x - 3)(x^2 + 1)$ ,  $g(x) = x^4 + 2x^2 + 1 = (x^2 + 1)^2$  and  $h(x) = x^4 - 2x^3 + x^2 - 2x = x(x - 2)(x^2 + 1)$  into irreducible polynomials in  $\mathbb{R}[x]$  (use e.g. rational root test). Thus we see that the gcd of  $f(x), g(x), h(x)$  is  $x^2 + 1$  and  $(f(x), g(x), h(x)) = (x^2 + 1)$ . But  $x^2 + 1$  is irreducible in  $\mathbb{R}[x]$ , thus  $\mathbb{R}[x]/(x^2 + 1)$  is a field. This means that  $(x^2 + 1)$  is a maximal ideal in  $\mathbb{R}[x]$ .

(b) Let  $\mathfrak{p} \subseteq R$  be a prime ideal and let  $x \in R \setminus \mathfrak{p}$  with  $x^n = x$  for some  $n > 1$ . Since  $\mathfrak{p}$  is prime,  $R/\mathfrak{p}$  is an integral domain and  $\bar{x} \neq \bar{0}$  is a nonzero-divisor. Then from the equation  $\bar{x}^n - \bar{x} = \bar{x}(\bar{x}^{n-1} - \bar{1}) = \bar{0}$  we can cancel  $\bar{x}$  and obtain  $\bar{x}^{n-1} = \bar{1}$ . But this means that  $\bar{x}\bar{x}^{n-2} = \bar{1}$ , that is,  $\bar{x}^{n-2}$  is a multiplicative inverse of  $\bar{x}$ . Thus any element  $\bar{x} \neq \bar{0} \in R/\mathfrak{p}$  is invertible, which implies that  $R/\mathfrak{p}$  is a field. But then (as shown in the lecture)  $\mathfrak{p}$  is a maximal ideal.

**Problem 3.** (a) Consider  $K[x, y, z]$  and order all monomials of degree less than or equal to 3 with respect to the following monomial orders: (i)  $<_{lex}$ , (ii)  $<_{deglex}$ , (iii)  $<_{\lambda}$ , where  $\lambda$  is a suitable linear form  $\lambda : \mathbb{R}^3 \rightarrow \mathbb{R}$ .

(b) Determine leading monomial and coefficient of the polynomial  $f = x^4 + z^5 + x^3z + yz^4 + x^2y^2$  with respect to the monomial orders from (a).

**Solution.** (a) All monomials of degree  $\leq 2$  are:  $1, x, y, z, x^2, xy, xz, yz, y^2, z^2$ . The orders are:

(i)  $1 <_{lex} z <_{lex} z^2 <_{lex} y <_{lex} yz <_{lex} y^2 <_{lex} x <_{lex} xz <_{lex} xy <_{lex} x^2$

(ii)  $1 <_{deglex} z <_{deglex} y <_{deglex} x <_{deglex} z^2 <_{deglex} yz <_{deglex} y^2 <_{deglex} xz <_{deglex} xy <_{deglex} x^2$ .

(iii) We have to choose a  $\lambda$  with  $\mathbb{Q}$ -linearly independent entries. Take e.g.  $\lambda = (1, \sqrt{2}, \sqrt{5})$ . Then

$1 <_{\lambda} x <_{\lambda} y <_{\lambda} z <_{\lambda} x^2 <_{\lambda} xy <_{\lambda} y^2 <_{\lambda} xz <_{\lambda} yz <_{\lambda} z^2$ .

(b) (i)  $lm_{lex}(f) = x^4$  and  $lc_{lex}(f) = 1$ , (ii)  $lm_{deglex}(f) = yz^4$  and  $lc_{deglex}(f) = 1$ , (iii) with  $\lambda$  from above  $lm_{\lambda}(f) = z^5$  and  $lc_{\lambda}(f) = 1$ .

**Problem 4.** Let  $R$  be a ring. Show that  $R$  is local if and only if the nonunits of  $R$  form a maximal ideal.

**Solution.** Let  $R$  be local, that is, there is a unique maximal ideal  $\mathfrak{m} \subseteq R$ . Denote  $S = \{\text{nonunits of } R\}$ . We have to show that  $S$  is an ideal. Let  $s, t \in S$ . Then  $\langle s \rangle + \langle t \rangle$  is an ideal and clearly  $\langle s \rangle \subseteq \mathfrak{m}$  and  $\langle t \rangle \subseteq \mathfrak{m}$ . But this implies that  $s - t \in \mathfrak{m}$ . If  $s \in S$  and  $r \in R$ , then  $rs \in \mathfrak{m}$  since  $s \in \mathfrak{m}$ , thus  $S$  is an ideal in  $R$ . If  $S \subsetneq \mathfrak{m}$ , then there would exist a unit in  $\mathfrak{m}$  (by definition of  $S$ ). But this would mean that  $\mathfrak{m} = R$ , contradiction to

the fact that  $\mathfrak{m}$  is a proper ideal of  $R$ .

For the other direction, assume that  $S$  is a maximal ideal in  $R$ . This means that  $S$  is a proper ideal of  $R$ . Let  $M$  be an arbitrary maximal ideal of  $R$ . Then every element of  $M$  has to be a non-unit of  $R$  (since  $M$  is supposed to be proper). This implies that  $M \subseteq S$  and by maximality,  $M = S$ .

**Problem 5.** Let  $I$  be an ideal of  $R$  and  $A$  be a multiplicatively-closed subset of  $R$ . Show that:

- (a)  $A^{-1}I$  is an ideal of  $A^{-1}R$ ;
- (b)  $\frac{x}{a} \in A^{-1}I$  if and only if there is some  $b \in A$  with  $xb \in I$ ;
- (c)  $A^{-1}I = A^{-1}R$  if and only if  $I \cap A \neq \emptyset$ ;
- (d) localization commutes with quotients, that is
 
$$A^{-1}R/A^{-1}I \cong \overline{A}^{-1}(R/I), \text{ where } \overline{A} = \{a + I : a \in A\}.$$

**Solution.** (a) Firstly, since  $0 \in I$  and  $1 \in A$  we have  $\frac{0}{1} \in A^{-1}I$ . Now suppose that  $\frac{r}{a}, sb \in A^{-1}I$ , then  $\frac{r}{a} - \frac{s}{b} = \frac{rb-sa}{ab}$ . Since  $r, s \in I \subseteq R$  we have  $rb - sa \in I$ , and since  $A$  is multiplicatively closed we have  $ab \in A$ . Thus  $\frac{r}{a} - \frac{s}{b} \in A^{-1}I$ . Finally if  $\frac{t}{c} \in A^{-1}R$  then  $\frac{t}{c} \frac{r}{a} = \frac{tr}{ac}$ , and again since  $tr \in I$  and  $ac \in A$  we have  $\frac{tr}{ac} \in A^{-1}I$ , so  $A^{-1}I \subseteq A^{-1}R$ .

(b) If  $\frac{x}{a} \in A^{-1}I$  then setting  $b = 1$  gives the result. Conversely if  $xb \in I$  then  $\frac{xb}{ab} \in A^{-1}I$ , but  $\frac{xb}{ab} = \frac{x}{a}$ .

(c) Suppose  $A^{-1}I = A^{-1}R$ , then  $1_{A^{-1}R} = \frac{1}{1} \in A^{-1}I$ . By (b), this implies that there is some  $b \in A$  with  $1 \cdot b \in I$ , i.e.  $I \cap A \neq \emptyset$ . Conversely if  $I \cap A \neq \emptyset$  then choose  $a \in I \cap A$ . Now  $\frac{a}{a} \in A^{-1}I$ , but  $\frac{a}{a} = \frac{1}{1} = 1_{A^{-1}R}$ , so  $A^{-1}I = A^{-1}R$ .

(d) Define  $\phi : A^{-1}R \rightarrow \overline{A}^{-1}(R/I)$  by  $\phi(\frac{r}{a}) = \frac{r+I}{a+I}$ . It is easy to check that  $\phi$  is well-defined. This map is a

homomorphism as given  $\frac{r}{a}, \frac{s}{b} \in A^{-1}R$  we have

$$\begin{aligned}
\phi\left(\frac{r}{a} + \frac{s}{b}\right) &= \phi\left(\frac{rb + sa}{ab}\right) \\
&= \frac{(rb + sa) + I}{ab + I} \\
&= \frac{(r + I)(b + I) + (s + I)(a + I)}{(a + I)(b + I)} \\
&= \frac{r + I}{a + I} + \frac{s + I}{b + I} \\
&= \phi\left(\frac{r}{a}\right) + \phi\left(\frac{s}{b}\right), \\
\phi\left(\frac{r}{a} \frac{s}{b}\right) &= \phi\left(\frac{rs}{ab}\right) \\
&= \frac{rs + I}{ab + I} \\
&= \frac{(r + I)(s + I)}{(a + I)(b + I)} \\
&= \frac{r + I}{a + I} \frac{s + I}{b + I} \\
&= \phi\left(\frac{r}{a}\right) \phi\left(\frac{s}{b}\right), \text{ and} \\
\phi(1_{A^{-1}R}) &= \phi\left(\frac{1}{1}\right) \\
&= \frac{1 + I}{1 + I} \\
&= 1_{\bar{A}^{-1}(R/I)}.
\end{aligned}$$

Also  $\phi$  is clearly surjective, and  $\phi\left(\frac{r}{a}\right) = \frac{r+I}{a+I} = \frac{I}{1+I}$  iff there is some  $c + I \in \bar{A}$  such that  $(r + I)(1 + I)(c + I) = I(a + I)(c + I)$ , that is iff there is some  $c \in A$  such that  $rc \in I$ . By part (b) this is iff  $\frac{r}{a} \in A^{-1}I$ , so  $\ker \phi = A^{-1}I$ . By the first isomorphism theorem the result follows.